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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
MARDER, SETH

DOC DATE: 11/05/1997

ASSIGNOR:
PERRY, JOSEPH

DOC DATE: 11/05/1997

ASSIGNEE:
CALIFORNIA INSTITUTE OF TECHNOLOGY
1200 E. CALIFORNIA BLVD.
PASADENA, CALIFORNIA 91125

SERIAL NUMBER: 08965945
PATENT NUMBER:

FILING DATE: 11/07/1997
ISSUE DATE:

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
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RECORDATION FORM COVER SHEET
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To the Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Seth Marder and Joseph Perry

2. Name and address of receiving party(ies):

Name: California Institute of Technology

Internal Address:

Street Address: 1200 E. California Blvd.

City, State and Zip: Pasadena, CA 91125

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

Additional Name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: November 5, 1997

01-26-1998



4. Application number(s) or patent number(s):

08/965945

100612563

If this document is being filed together with a new application, the execution date of the application is: 11/05/97

A. Patent Application No.(s)
NEW APPLICATION

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patricia Coleman James

Internal Address: Limbach & Limbach L.L.P.
Street Address: 2001 Ferry Building
City, State, ZIP: San Francisco, California 94111
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Attorney Docket No. CTCH-9610

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Patricia Coleman James, Reg. No. 37,155
Name of Person Signing

Patricia Coleman James
Signature

6 November 97
Date

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ASSIGNMENT

WHEREAS, WE, Seth Marder, Joseph Perry, hereinafter referred to as "ASSIGNORS", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: TWO PHOTON OR HIGHER-ORDER ABSORBING OPTICAL MATERIALS AND METHODS OF USE

Application (Declaration/Oath) Execution Date:

Filing Date: Application No.:

WHEREAS, California Institute of Technology, 1200 E. California Boulevard, Pasadena, CA 91125, a non-profit corporation of the State of California, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in the said invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignors had this assignment and transfer not been made, to the full end and term of any such Letters Patent.

Assignors further agree that they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has hereunto signed his/her name to this assignment on the date indicated below.

Date: 11-5-97

Seth Marder

Seth Marder

Date: 11-5-97

Joseph W. Perry

Joseph Perry

Date: _____

State of CALIFORNIA

County of LOS ANGELES

On NOVEMBER 5, 1997 before me, DIANA C. RENTZ, NOTARY PUBLIC, personally appeared Seth Marder, Joseph Perry, personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Keliana C. Rentz

Signature of Notary

